**EXHIBIT 8** 

Trial Transcript (Jang) E 6/28/2005 9:28:00 AM 1 - VOLUME E -2 IN THE UNITED STATES DISTRICT COURT 3 IN AND FOR THE DISTRICT OF DELAWARE 4 BOSTON SCIENTIFIC CORPORATION, : CIVIL ACTION 5 6 Plaintiff 7 VS. 8 CORDIS CORPORATION and JOHNSON & JOHNSON, INC., 9 Defendants : NO. 03-27 (SLR) 10 BOSTON SCIENTIFIC SCIMED, INC., : CIVIL ACTION 11 and BOSTON SCIENTIFIC CORPORATION, 12 Plaintiffs 13 VS. 14 CORDIS CORPORATION and 15 JOHNSON & JOHNSON, INC., 16 Defendants : NO. 03-283 (SLR) 17 18 Wilmington, Delaware Tuesday, June 28, 2005 19 9:28 o'clock, a.m. 20 21 BEFORE: HONORABLE SUE L. ROBINSON, Chief Judge, and a jury 22 23 Valerie J. Gunning and Leonard A. Dibbs, 24 Official Court Reporters

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#### Trial Transcript (Jang) E 6/28/2005 9:28:00 AM

ł	Velocity stent.	Down here, we see an excerpt from an

- 2 engineering drawing that engineers like me would
- 3 generate to tell the machine shop how to cut the stent
- 4 exactly, which would be the geometry that would be
- 5 desired.
- You see the number of dimensions on here
- 7 and then, if we zoom in on both of these, we can see
- that we have these curvy offset connectors. We see one
- connection point down at this level, another connection
- 10 point up here at this level (indicating), so the
- 11 connection points are offset. And then we see that
- 12 it's curvy in between and that's the same whether you
- 13 look at the actual product or the engineering drawing.
- 14 Q. Now, Doctor, you mentioned these curvy offset
- 15 connectors. Are those features important for
- 16 flexibility?
- 17 A. Absolutely. It's very crucial in the flexibility
- 18 of this design.
- 19 Q. Why is that?
- 20 A. Well, because you have these connectors -- the
- 21 fact that they're offset, it gives them something that -
- 22 gives them a property that avoids what we call the
- 23 backbone effect. All right. The backbone effect is
- 24 something that would occur if you had the connection
- 25 point for, say, this end of the connecting strut here
- (indicating) at the tip and this one here at the tip.
   Now, those would be along the same circumferential
- 3 line or along the same horizontal position in this
- 3 line or along ur
- 5 Now, if you had an entire length of a stent
- 6 with the connection points all at peaks, then you could
- 7 draw a line along the longitudinal axis that would
- 8 connect all these peaks, and, in fact, when you try to
- 9 bend that stent around the corner, you would find that
- 10 it's much more stiff right at this point right here
- 11 (indicating).
- 12 So if, when we bend something, we stretch
- 13 the outside and compress the inside. Okay?
- 14 Now, if we tried to stretch this structure at
- 15 the point of where these would be connected at the peaks,
- 16 then it would be much stiffer there. That's called the
- 17 backbone effect. All right?
- 18 Now, by offsetting the positions of these
- 19 connectors, it avoids the backbone effect.
- 20 Now, the other advantage to this being curvy
- 21 is that you increase the total length from this point to
- 22 this point (indicating), thereby increasing the
- flexibility. And then you have the angles between the
- 24 different parts of this connecting strut can change
- 25 quite easily as well. So the curvy offset design is

- 1 crucial, really crucial in determining the flexibility of
- 2 the stent.
- 3 Q. Did this curvy offset design make the BX Velocity
- 4 stent more flexible than the Crown stent?
- 5 A. Yes, it did.
- 6 Q. Let's take a look now at BSC-4207 (placing chart on
- 7 the easel).
- 8 What other stents that Cordis makes use the
- 9 same curvy offset design to increase flexibility?
- 10 A. Well, we see the BX Velocity here again, the BX
- 11 Sonic, the Cypher and the Genesis stents all use the
- 12 curry offset connection design to achieve their
- 13 flexibility. And then on the top here, we see from the
- 14 list of interrogatories between the lawyers, Cordis and
- 15 the lawyers of Boston Scientific, we see that Cordis
- 16 was asked whether or not differences exist in the bare
- 17 metal stent architectures of BX Velocity, BX Sonic and
- 18 Cypher stents, and they said no. Basically, they are
- 19 all using the same curvy offset connector design.
- 20 Q. Given these similarities, would it be fair to call
- 21 the BX Velocity, BX Sonic, Cypher and Genesis, group
- 22 them all together and call them the BX Velocity for your
- 23 testimony here today?
- 24 A. That would be very useful.
- Q. And did Cordis' use of these curvy offset connectors

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#### Trial Transcript (Jang) E 6/28/2005 9:28:00 AM

4	0	Do you hallows this is the priority data for Claim
1	w.	Do you believe this is the priority date for Claim

- 2 36 of the '021 patent?
- 3 A. Yes it is
- 4 Q. Why?
- 5 A. Because the material described in Claim 35 is
- 6 clearly outlined in this application and the material
- 7 is clearly outlined in this application and the Patent
- 8 Office agreed that the priority date for Claim 36 should
- 9 be April of 1996.
- 10 Q. Now let's turn to Cordis' specific assertions
- 11 regarding Claim 36 of the '021 patent.
- 12 Could you turn in your binder to BSE-4234 and
- 13 tell me, is this a slide that reflects the primary
- 14 references that Cordis has asserted here?
- 15 A. Yes
- 16 Q. Let's take a look. What do we see here on BSE-4234?
- 17 A. Here we see the cover pages of four patents that
- 18 Cordis claims would constitute prior art for the Jang
- 19 '021 patent. They're saying these ideas is what Dr.
- 20 Jang talk and put together to make his invention. But
- 21 this argument was considered and rejected by the Patent
- 22 Office. They had all this information in front of them
- 23 at the time and they rejected these as prior art.
- 24 So what we see, first of all, is the '373
- 25 patent to Pinchasik. It's common to refer to patents

- 1 Q. And did you prepare a slide of that as BSE-4235?
- 2 A. Ye
- 3 Q. Let's take a look.
- MR. ARMENIO: BSE-4235, please.
- 5 BY MR. ARMENIO:
- 6 Q. What do we see here?
- 7 A. Here we see the cover page of the Jang '021 patent
- 8 and under, right on the cover page, first page of the
- 9 patent, it gives a list of references cited. And we
- 10 see is the '417 Palmaz, '373 Pinchasik and these are
- 11 the equivalent international versions of the other two
- 12 patents
- 13 Q. And after the Patent Office considered these
- 14 references, what did it do?
- 15 A. Again, it rejected these as being prior art. It
- 16 determined that this prior art did not teach what Dr
- 17 Jano was teaching in his claims.
- 18 Q. Now let's take a look at a specific page of the
- 19 prosecution history, the back and forth with the Patent
- 20 Office
- 21 MR. ARMENIO: JFH-194, please. And if we
- 22 could enlarge the highlighted text, please...
- 23 BY MR ARMENIO
- 4 Q. What do we see here, Doctor?
- 25 A. Okay. This is part of the back and forth between

1 by the last three numbers. And then '417 to Palmaz,

- 2 '303 to Israel. But I will just point out that the
- Patent Office, when they granted Dr. Jang the '021
- 4 patent considered not the U.S. version of the Israel
- 5 patent, but the equivalent international version.
- And then the Brown patent, the '065 patent,
  which we see here, was also considered in the form of an
- 8 equivalent international version.
- 9 Q. In your expert opinion, do any of these references
- 10 invalidate Claim 36?
- 11 A. No
- 12 Q. Did the Patent Office consider all of these
- 13 references in either their U.S. patent form or their
- 14 international patent form?
- 15 A. Yes they did.
- 16 Q. And what did the Patent Office conclude?
- 17 A. It rejected these as being prior art. It said, We
- 18 read these, we understand them. We've read Dr. Jang's
- 19 claims and we understand those. And Dr. Jang's claims
- 20 are clearly new, novel and this patent should be granted.
- 21 Q. Now, how do you know that the Patent Office
- 22 reviewed each of these documents?
- 23 A. Because they are included in the list of
- 24 references cited on the front page of the Jang '021
- 25 patent.

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  - Dr. Jang's patent lawyers and the Patent Office. And
     this is the Patent Office telling Dr. Jang and his
  - 3 lawyers what the allowable subject matter is.
  - 4 So we see a bunch of claim numbers here are
  - 5 objected to as being dependent on a rejected base claim,
  - 6 but they would be allowable if rewritten in independent
  - 7 form.
  - 8 In other words, if we just rewrite all of
  - 9 these claims without depending on this other claim that
  - 10 was rejected, then they would be allowable. In other
  - 11 words, all of these claims are good as they stand by
  - 12 themselves.
  - 13 Now, if we look here, we see 3138. Now, the
  - 14 original number upon submission was 37, but that
  - 15 eventually became Claim 36. So clearly what the Patent
  - 16 Office is saying to Dr. Jang and his lawyers right off
  - 17 the bat is Claim 36 is allowable. It's new and novel
  - and should not be rejected by these prior-art references.
     Q. Let's take a look at some of Cordis' references in
  - 20 a little more detail.
  - 21 Have you prepared some slides of your analysis
  - 22 as BSE-4236 through 4248?
  - 23 A. Yes
  - 24 Q. So let's turn to the first one and take a look at
  - 25 BSE-4236, please, the Pinchasik '373 patent.

1085 A. Here we see another excerpt from the Pinchasik What do we see here? '373. We see links 112 between apexes of diamond-A. We see hear the cover page of the Pinchasik '373. shaped cells. Again, it was considered by the Patent Office and 3 So it's referring to these connectors here rejected as being prior art. that run between the apexes (indicating). And we can see If we look at the Pinchasik '373 patent, we 5 another version over here, which they actually prefer, 6 can see that it does not have expansion columns as 6 that has these zig-zag connectors. And what it says described by Dr. Jang and it does not have the offset corner to corner connections that are crucial in about this is that it prefers these kinds of connectors to these spiral connectors because the spiral connectors, determining the flexibility of the structure. Q. So is Pinchasik missing important features of the when the stent is expanded, can rotate out of plane and hang up on the artery wall and maybe damage the artery '021 patent? 11 wall. 12 12 A. Very important features, yes. Q. Let's take a look at the first one of those 13 So they're saying they don't want the offset connection scheme. They want the straight across 14 features 14 connection scheme here, and they want them attached at And if we could turn to BSE-4237, please... 15 15 16 What do we have here, Doctor? 16 the apexes of these points, not on the comers. A. Here we have some text from the summary of the Q. And so here (indicating), under Pinchasik, if we 17 invention of the Pinchasik '373. We said that - we drew a line from the one end of the connector to the 18 18 other, even though the connector itself goes back and see that it refers to at least two substantially rigid 19 forth, that would be a straight line; is that correct? 20 segments. And what it's referring to is what I've 20 Along the longitudinal axis? highlighted in red here (indicating). This particular 21 A. That's right. It would be a straight line along 22 embodiment has three of these substantially rigid 22 23 the longitudinal axis. It would not be offset. 23 segments. Q. Is that different than the '021 patent? 24 Now, this is different from an expansion 24

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entirely enclosed space, unlike the expansion columns.

column because we see this slot running this way is an

- 2 And when that expands, that slot becomes kind
- of like a diamond shape. All right? 3
- Well, the behavior, mechanical behavior of Δ
- this substantially rigid segment is really very, very 5
- different from an expansion column as described by Dr. 6
- 7

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- 8 Q. So Pinchasik has substantially rigid segments and
- 9 that's different from the '021 patent, which has expansion
- 10 columns: is that right?
- A. That's correct. Yes.
- 12 O. Is that difference important?
- A. Absolutely. The flexibility of this structure is 13
- going to be largely determined by the connectors, not by 14
- 15 the substantially rigid segments. These things do not
- have very much flexibility to them at all. So with Dr.
- 17 Jang's design, we have the expansion columns that have
- their own flexibility and the connectors that have 18
- their own flexibility. So the result is a more
- uniformly flexible structure and much more flexible 20
- 21 overall as well
- 22 Q. Now let's talk about the second difference you
- 23 mentioned.
- And if we could turn to BSE-4238, please... 24
- 25 What do we see here, Doctor?

- Q. Why is it important?
  - A Because of the flexibility. This stent here is 2

A. Absolutely. And that difference is very important.

- going to exhibit what I call the backbone effect. 3
- By saying that it prefers this kind of
- connector that runs straight across rather than offset, 5
- it's achieving, in fact, what I referred to previously
- as the backbone effect.
- So the difference between this kind of curv R
- connector and the kind of curvy connector specified by Dr.
- 10 Jang is really very significant.
- 11 Q. And the backbone effect we've heard you tell us
- 12 earlier, we want to avoid that because that hurts
- flexibility; is that right? 13
- A. Exactly, yes. 14
- Q. Now let's look at BSE-4239. What do we see here? 15
- A. Here we see an excerpt from the communication 16
- between Dr. Jang's lawyers and the Patent Office in 17
- which the patent examiner has colored in half of the 18
- tubular member of the Pinchasik '373 19
- 20
- A. (Continuing) It says, well, half of this structure 21
- looks like an expansion column and you've got curv-22
- connectors here, and thought maybe that would invalidate 23
- 24 part of the Jang patent.
- When Dr. Jang's lawyers replied and said, 25

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1059 no this is not the same structure. This is a tubular it curvy and stick with - it couldn't be curvy and comply with this - this, what this sentence is saying member over here, not an expansion column. Q. Let me ask you, Doctor, with respect to Claim 36, here. The non-parallel relationship what turned out to be Claim 36 of the '021 patent, did Well, if it's running up in a straight line this way, clearly, it's not parallel. the Patent Office ever reject Claim 36 that we're 5 6 Now, if it were zig-zagging or if it were talking about in this courtroom over this figure? curvy, then at least portions of it could be considered parallel and we wouldn't talk about it using this kind A. No. In fact, this figure was - was talking about 8 some other claim 9 Claim 36 was allowed from the beginning. 10 So it's clear that what was intended here 10 was a straight connector that did not run parallel to 11 remember, and this figure was not used to invalidate 11 the longitudinal axis. 12 Claim 36. Q. Is that the same or different than the connector in 13 13 Q. So now taking into account everything you've studied and everything you've discussed here today, 14 the '021 patent? does the Pinchasik '373 patent invalidate Claim 36 in 15 A. It's very, very different. Remember the '021 15 16 patent, Claim 36 specifies a curvy offset connector. 16 any way? Q. Are those differences important to flexibility? A. No. Absolutely not. 17 A. Absolutely. The curvy offset connector is going Q. Let's turn to Cordis' next reference and let's 18 take a look at BSE-4240, please. 19 to provide a much more flexible structure than any 10 straight connector. 20 20 What do we see here?

Q. Now, earlier, we talked in our infringement 21 A. Here we see the cover page of the Palmaz '417 21 analysis about the corner to comer offset connections 22 patent. Again, it was considered by the Patent Office Does Palmaz '417 show this corner to corner type of when it was looking at Dr. Jang's, what eventually connection scheme that we see in the '021 patent? became the '021 patent, Claim 36. It considered this A. No. In fact, we can see this connector here is and rejected it as prior art. It determined it was not

relevant as prior art. It did not have the same ideas as Dr. Jang had disclosed in his invention. 2 3 Now, if we look at the design in the Palmaz '417 patent, we see that it does not have curvy connectors, and it does not have comer to comer 5 6 Q. Let's talk about that a little more, and to do so, may we see BSE-4242, please? 8 9 What do we see here, Doctor? A. Here we see an excerpt from the Palmaz '417 patent. 10 11 It comes from Column 4. And we see that a feature of the present invention is that at least one connector 13 member may be disposed in a non-parallel relationship with respect to the longitudinal axis of the tubular

And in this figure, it shows one of these 16 17 connectors running up this way. We see that that is not parallel to the longitudinal axis, which would be 18 running in this direction (indicating). 19

Q. Is this the connector here in red? 20

21 A. Yes. I've highlighted it in red there, so we can

see it. It runs up this way, not parallel to the 22

23 longitudinal axis.

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24 Q. Is that connector curvy?

25 A. No. It's straight. And if we -- we couldn't call

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connected to the apex here and the apex up there

Q. Does the Palmaz '417 patent - you've read that

patent right?

O Does it even mention corners?

A. No. It does not even mention comers there.

Q. Taking into account, Doctor, everything you've

studied and everything you've discussed here today in

court, does the Palmaz '417 patent invalidate Claim 36

11 in any way?

12 A. No. Absolutely not.

Q. Let's turn to another of Cordis' references, the

Israel '303 patent. 14

MR. ARMENIO: And if we can see BSE-4243, 15

16

BY MR. ARMENIO: 17

Q. What do we see here, Doctor?

A. Here we have the cover page of the Israel '303

patent, and here we have the cover page of the 20

international equivalent, which has this number here 21

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Remember, it was the international equivalent 23

that was in front of the patent examiner in this case and

he rejected it as being prior art. He read the - the

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1	patent examiner read these documents very carefully.	1	Can we take a look now at the Brown '065	
2	Read the '021 patent and rejected this as being prior	2	patent and BSE-4245.	
3	art. It does not constitute prior art.	3	What do we see here, Doctor?	
4	Now, what we see if we read about the	4	A. Again, we have the cover page of the Brown '065,	
5	structure is that it does not have offset corner to	5	We have the international equivalent down here. And,	
6	corner connections. And actually it teaches away from	6	again, this material was in front of the patent examiner	
7	affset corner to corner connections.	7	when the patent examiner was looking at Dr. Jang's '021	
8	It says that it does not want offset corner	8	Claim 36,	
9	to comer connections.	9	The patent examiner rejected this as being	
10	Q. Let's talk about that a little bit more.	10	0 prior art. He said this is not prior art. Claim 36 is	
11	MR. ARMENIO: Could we turn to BSE-4244,	11	1 new and novel and should be granted right off the bat.	
12	please?	12	Now, if we look at the structure described	
13	BY MR. ARMENIO:	13	3 in this patent, we see that it does not have curvy	
14	Q. So, first of all, let's just get our bearings.	14	4 connectors, it does not have comer to corner connections	
15	Is this an offset connector scheme that's	15	5 for adjacent strut pairs, and it actually teaches that	
16	shown (indicating)?	16	6 the connectors should be rigid.	
17	A. No. This is not an offset connector scheme. We	17	7 Dr. Jang's connectors are offset and curvy.	
18	see here that the connection point here is perfectly	18	8 so they can be flexible. This is saying that the	
19	even with the connection point on the other side, and so	19	9 connectors have to be rigid.	
20	that would run straight across there. And then if we	20	Well, that's teaching 180 degrees opposite.	
21	read in the background of the invention, it talks about	21	1 totally opposite to what Dr. Jang is trying to achieve.	
22	actually the '417 patent that we just got through talking	22	Q. Well, let's take a look at that. Let's turn to	
23	about	23	3 BSE-4246, please.	
24	It says when those expand, the helical	24	What do we see here?	
25	connectors, in other words, if it has offset connection	25	5 A. Here we see in the summary of the invention of the	
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1	points, that it twists and it may be harmful to the	1	Brown '065, it says that the connectors between the	
2	blood vessels.	2	•	
3	So it's saying, all right, in this invention,	3		
4	we're not going to do that. All right? We're not going	4		
5	to offset the connection points. All right?	5	-	
6	And then it refers to this patent by Schatz,	6		
7	who was a collaborator of Dr. Palmaz. They had designed	7	·	
я	a stent with a one straight connector that removes the		A Management The average in the con-	

B a stent with a one straight connector that removes the

9 twisting motion that it's talking about up here.

10 So it clearly is saying that it wants to

11 connect straight across and not at offset points.

12 Q. Now, is this requirement to avoid offset connection

13 points one of the reasons that this stent design is less

14 flexible than the BX Velocity?

15 A. Absolutely. Remember, the offset part of the curvy

16 offset connection scheme is very important in determine

17 the flexibility because it helps avoid the backbone

18 effect

19 Q. Doctor, taking into account everything you've

20 studied and everything you've discussed here today about

21 this Israel '303 patent, does it invalidate Claim 36 of

22 the '021 patent in any way?

23 A. No. Absolutely not.

24 Q. Now, sorry, but there's one more reference Cordis

25 has asserted.

8 A. Very, very important. The overall structure is not

9 going to be as flexible if the connectors are not

10 intended to flex or bend. So with the offset, curvy

11 connection scheme of Dr. Jang, we have flexibility.

12 With this we don't. The opposite in that sense.

13 Q. Taking into account, Doctor, everything you've

14 studied and discussed about the Brown '065 patent, does

15 this patent invalidate Claim 36 in any way?

16 A. No. Absolutely not.

17 Q. So now we've talked about four patents. Could any

of these four patents either by itself or combined in

19 some way be considered to invalidate Claim 36 in any way?

20 A. No. In fact, they teach away from each other.

21 This one teaches connectors that are not intended to flex

22 or bend, for example, and the others, well, they have

23 other kind of connectors.

24 So really they're teaching completely

25 opposite things.

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- 1 Q. Would a stent designer of ordinary skill in 1996
- 2 have had any motivation at all to try to mix and match
- 3 and combine any of these elements from any of these
- 4 patents to arrive at the claimed invention with these
- 5 elements of Claim 36?
- 6 A. No. As I said, this patent, for example, teaches
- 7 away. These -- there's no way these four patents could
- 8 be combined to arrive at Dr. Jang's invention because
- 9 they teach away from each other. One thing teaches one
- 10 thing. Another patent teaches another. And, really,
- 11 there would be no motivation to combine things that are
- 12 telling you to do two completely different things.
- 13 Q. Now, Doctor, Cordis had some other references.
- 14 Did you kind of group these together on one slide,
- 15 BSE-4248?
- 16 A. Yes.
- 17 Q. So let's go ahead and take a look, please.
- 18 BSE-4248.
- 19 What do we see here, Doctor?
- 20 A. Here we see some of the other things that Cordis
- 21 is calling prior art. And we see a sort of hoop
- 22 arrangement here (indicating) that's connected with this
- 23 undulating longitudinal member here and clearly, this
- 24 kind of undulating longitudinal member could never be
- 25 used to have offset connectors. It's not described in

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- there.
- 2 And then this figure down here from this
- 3 patent here shows a completely different structure as
- 4 well. And, in fact, this one was in front of the patent
- 5 examiner when Claim 38 was granted without hesitation.
- 6 Q. Now, after studying all of Cordis' other asserted
- 7 references, do any of them invalidate Claim 36 in any way?
- B A. No. Absolutely not.
- 9 Q. Sitting here today, Dr. Moore, are you aware of any
- 10 reference, whether cited by Cordis or otherwise, that
- 11 invalidates Claim 36, which are all of these requirements
- 12 and its increased flexibility, in any way?
- 13 A. No, I'm not.
- 14 Q. Now, Dr. Moore, in addition to these references.
- 15 did you consider the fact that Cordis applied for a patent
- 16 on the BX Velocity design?
- 17 A. Yes.
- 18 Q. Why did you consider that?
- 19 A. Because when Cordis went to the Patent Office with
- 20 what it thought was a new design, it said that all of
- 21 these design features that we see here on the board, it
- 22 said that all of those were new.
- 23 Q. So Cordis wanted to get a patent like this one,
- 24 Claim 36 of the Jang '021; is that right?
- 25 A. Yes. You bet they wanted it.

## **EXHIBITS 9 – 13**

# REDACTED IN **FULL**

## **EXHIBIT 14**

#### IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

CORDIS CORPORATION and JOHNSON AND JOHNSON, INC.	) )
Plaintiffs, v.	) ) ) C.A. No. 03-27-SLR )
BOSTON SCIENTIFIC SCIMED, INC. and BOSTON SCIENTIFIC CORPORATION,	
Defendants.	<b>)</b>

#### PLAINTIFFS' AND COUNTERCLAIM-DEFENDANTS' NOTICE PURSUANT TO 35 U.S.C. § 282

Pursuant to 35 U.S.C. § 282, Plaintiffs and counterclaim-defendants Cordis Corporation and Johnson and Johnson, Inc. (collectively "Cordis") hereby give notice to Defendants and counterclaim-plaintiffs Boston Scientific Corp. and Boston Scientific Scimed, Inc. (collectively "BSC") of those patents and publications that Cordis may rely upon as anticipation of the patent-in-suit or as showing the state of the art, and the persons whom Cordis may rely upon as prior inventor(s) or as having prior knowledge of or as having previously used or offered for sale the alleged invention of the patent-in-suit.

#### 1. **Patents**

Patent	Issue/Publication Date	Inventor (Assignee)
U.S. Patent No. 4,733,665	3/29/88 (Reexamination	Julio Palmaz (Cordis
and Reexamination Certificate	Certificate issued 1/11/94)	Corporation)
B1 4,733,665		

Patent	Issue/Publication Date	Inventor (Assignee)
U.S. Patent No. 4,739,762 and Reexamination Certificate B1 4,739,762	4/26/88 (Reexamination Certificate issued 10/27/98)	Julio Palmaz (Cordis Corporation)
U.S. Patent No. 5,102,417	4/7/92	Julio Palmaz et al. (Cordis Corporation)
E.P.O. 0 335 341 A1	10/4/89	Julio Palmaz (Cordis Corporation)
E.P.O. 0 221 570	5/13/87	Julio Palmaz
U.S. Patent No. 5,449,373	9/12/95	Gregory Pinchasik et al.
U.S. Patent No. 5,195,984	3/23/93	Richard Schatz (Cordis Corporation)
U.S. Patent No. 5,902,332	5/11/99	Richard Schatz (Cordis Corporation)
E.P.O. 0 709 067 A2	5/1/96	Gregory Pinchasik et al. (Medinol Limited)
U.S. Patent No. 4,776,337	10/11/88	Julio Palmaz (Cordis Corporation)
U.S. Patent No. 4,793,348	12/27/88	Julio Palmaz (Cordis Corporation)
WO 96/03092	2/8/96	Henry Israel et al.
WO 98/40035	9/17/98	Hess et al.
U.S. Patent No. 5,733,303	3/31/98	Israel et al. (Medinol Ltd.)
U.S. Patent No. 5,922,005	7/13/99	Richter et al. (Medinol Ltd.)
U.S. Patent No. 6,348,065	2/19/02	Brown et al. (Scimed Life Systems, Inc.)
U.S. Patent No. 5,104,404	4/14/92	Wolff (Medtronic, Inc.)

Patent	Issue/Publication Date	Inventor (Assignee)
U.S. Patent No. 5,292,331	3/8/94	Boneau (Arterial Vascular Engineering, Inc.)
U.S. Patent No. 5,514,154	5/7/96	Lau et al. (Advanced Cardiovascular Systems, Inc.)
U.S. Patent No. 5,643,312	7/1/97	Fischell et al.
U.S. Patent No. 5,879,370	3/9/99	Fischell et al.
U.S. Patent No. 5,810,872	9/22/98	Nozomu Kanesaka et al.
DE 297 021 671 U1	5/22/97	Jomed Implantate GmbH
E.P.O. 0606165	7/13/94	Miksza
U.S. Patent No. 5,810,872	3/14/97	Kanesaka et al.

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Prior Inventors, Persons With Prior Knowledge of the Alleged 3. Inventions, Persons Who Made Prior Uses or Offers for Sale and Persons with Knowledge of the Art

Julio Palmaz 4031 Hagen Road Napa, CA 94558

Robert Fischell 14600 Viburnum Drive Dayton, MD 21036

David Fischell 71 Riverlawn Drive Fairhaven, NJ 07704

Tim Fischell Heart Institute Borgess Medical Center 1521 Gull Road Kalamazoo, MI 49001

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David M. Parks Massachusetts Institute of Technology 77 Massachusetts Avenue Room 1-310 Cambridge, MA 02139

Brian J. Brown

Gregory Pinchasik

Jacob Richter

Henry Marshall Israel

#### Other Materials Constituting or Describing The Art 4.

#### a. **Stents**

Palmaz stent

Palmaz-Schatz coronary stent

Wallstent

#### h. Other Materials

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- A. Kastrati et al., Am. J. Cardiol. 2001; 87:34-49.

Sigwart, Endoluminal Stenting (1996).

COR891322

COR891330

BSC219870

To the extent not separately listed above, prior art referenced in the prosecution histories of the Jang '021 patent and any related applications.

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Dated: May 12, 2005

157180.1

#### CERTIFICATE OF SERVICE

I hereby certify that on the 12th day of May, 2005, the attached PLAINTIFFS' AND

COUNTERCLAIM-DEFENDANTS' NOTICE PURSUANT TO 35 U.S.C. § 282 was served

upon the below-named counsel of record at the address and in the manner indicated:

Josy W. Ingersoll, Esquire Young Conaway Stargatt & Taylor, LLP The Brandywine Building 1000 West Street, 17th Floor Wilmington, DE 19899-0391

Peter J. Armenio, Esquire Citigroup Center 153 East 53<sup>rd</sup> Street New York, NY 10022-4675 HAND DELIVERY

VIA FEDERAL EXPRESS

## **EXHIBIT 15**

## IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

BOSTON SCIENTIFIC CORPORATION and	)	
BOSTON SCIENTIFIC SCIMED, INC.,	) <u>CONFIDENTIAL</u>	
	)	
Plaintiffs,	)	
	)	
v.	) C.A. No. 05-768-SLI	3
	)	
CONOR MEDSYSTEMS, INC.,	)	
	)	
	)	
Defendant.	)	
	)	

## CONOR MEDSYSTEMS, INC.'S FOURTH SUPPLEMENTAL RESPONSE TO PLAINTIFFS' INTERROGATORY NO. 8 AND SECOND SUPPLEMENTAL RESPONSE TO PLAINTIFFS' INTERROGATORY NOS. 13-14

Pursuant to Rules 26 and 33 of the Federal Rules of Civil Procedure, Defendant Conor Medsystems, Inc. ("Conor") hereby objects and responds to Plaintiffs Boston Scientific Corporation and Boston Scientific SciMed, Inc.'s ("BSC") Interrogatory Nos. 8 & 13-14, as follows:

#### **GENERAL OBJECTIONS**

Conor incorporates by reference the General Objections set forth in Conor's Objections and Responses To Plaintiffs' Interrogatory Nos. 7-11 and 13-16.

#### **DEFINITIONS**

A. "'021 Patent" means U.S. Patent No. 5,922,021.

#### SPECIFIC OBJECTIONS & RESPONSES

Subject to and without waiving its General Objections, Conor objects and responds to BSC's Interrogatory Nos. 8 & 13-14 as follows:

#### **INTERROGATORY NO. 8:**

Describe in detail each and every factual and legal basis for Conor's contention that "[e]ach of the claims of the '021 patent is invalid for failure to comply with the Patent Laws of the United States, including without limitation the conditions and requirements for patentability set forth in 35 U.S.C. §§ 102, 103, and 112."

#### **RESPONSE TO INTERROGATORY NO. 8:**

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome, in particular, because BSC seeks Conor's contentions for "each of the claims of the '021 patent" even though BSC accuses Conor of infringing only Claim 35. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to a contention interrogatory before claim construction and the start of expert discovery. Conor also objects to this interrogatory as premature because it seeks expert opinion testimony prior to the disclosure dates set forth in the Federal Rule of Civil Procedure 26(a)(2)(C) and the Court's scheduling order for expert discovery. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General and Specific Objections, Conor responds as follows: As properly construed and/or as apparently construed by BSC in furtherance of its apparent infringement theories, Claim 35 of the '021 Patent is anticipated pursuant to 35 U.S.C. § 102 and/or rendered obvious, including by simultaneous invention, pursuant to 35 U.S.C. § 103 by the following prior art references, separately and in combination:

- 1. U.S. Patent No. 5,545,210 (Hess)
- 2. U.S. Patent No. 5,807,404 (Richter)
- 3. U.S. Patent No. 5,733,303 (Israel)
- 4. U.S. Patent No. 4,739,762 (Palmaz)

- 5. U.S. Patent No. 4,733,665 (Palmaz)
- 6. U.S. Patent No. 5,102,417 (Palmaz)
- 7. U.S. Patent No. 5,514,154 (Lau)
- 8. U.S. Patent No. 5,195,984 (Schatz)
- 9. U.S. Patent No. 6,348,065 (Brown)
- U.S. Patent Application No. 08/816348 (Hess) 10.
- 11. EP 0709 067 A2 (Pinchasik)
- 12. WO 98/40035 (Hess)
- 13. PCT/US98/05014 (Hess)
- 14. DE 297 08 689 (Hoefer)
- 15. EP 0421 729 (Wolff)
- 16. EP 0606 165 (Miksza-Ethicon)
- 17. The V-Flex and V-Flex Plus Coronary Stents as manufactured, sold, offered for sale, and/or used by Global Therapeutics in the United States prior to the filing date of the '021 Patent
- 18. The NIR stent as manufactured, sold, offered for sale, and/or used by Jakob Richter and Medinol Ltd. in the United States prior to the priority and/or application dates of the '021 Patent

The asserted claim of the '021 Patent also is invalid pursuant to 35 U.S.C. § 102(g) because the subject matter claimed in the '021 Patent was invented in the United States by other inventors who have not abandoned, suppressed, or concealed their work in developing stents. Beginning in 1996, Robert, Tim, and David Fischell individually, and as a group, developed stent architectures that predate the priority date of the '021 Patent as described and depicted in the declaration of Robert Fischell dated June 12, 2000 filed in the Scimed Life

Systems, Inc., et al. v. Johnson & Johnson, et al. litigation (D. Del.) (Civil Action No. 00-404-SLR), the Jan. 1996 Robert Fischell stent drawings, U.S. Patent No. 5,643,312, U.S. Patent No. 5,697,971, and U.S. Patent No. 6,190,403 and was eventually demonstrated to the public as the Isostent, including at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands, and Cordis' Bx Velocity stent. The stent described in Claim 35 of the '021 Patent also was invented by personnel at Progressive Angioplasty System ("PAS") before the priority date of the '021 Patent when it developed the stent design in the United States that eventually was disclosed to the public as the Paragon stent. The design for the Paragon stent was described in Bruce Modesitt's lab notebooks, 1996-97 CAD drawings from PAS, the 1997 Handbook of Coronary Stents, and at the December 1997 Cardiovascular Summit and 4th Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands.

The stent described in Claim 35 of the '021 Patent also was invented by personnel at UniCath before the application date of the '021 Patent when it developed the stent design in the United States that was eventually disclosed to the public as the Iris stent. The design for the Iris stent was described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. and demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands. The stent described in Claim 35 of the '021 Patent also was invented by personnel at Devon Medical before the application date of the '021 Patent when it developed the stent design in the United States that was eventually disclosed to the public as the Pura-Vario stent. The design for the Pura-Vario stent was described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. and demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands.

The stent described in Claim 35 of the '021 Patent is obvious to one of ordinary skill at the times Jang filed provisional application 60/017,484 and his '021 patent application in light of several factors. First, as apparently construed by BSC in furtherance of its infringement theories, Claim 35 purportedly covers the design where the expansion strut pairs in the first and second expansion strut columns are longitudinally aligned ("non-offset design"). This non-offset design was commonly known in the prior art and described in several references that predate the priority date of the '021 Patent, including the Israel '303 patent, the Pinchasik '067 reference, the '065 Brown patent, the '154 Lau patent, and the '417 Palmaz patent. In addition, the use of a curvy connector to attach two expansion struts in two separate expansion strut columns was wellknown and obvious to a person of ordinary skill in the art as of the priority or the application date of the '021 Patent. The stent architectures developed by the Fischells beginning in 1996 and described in the Fischell '312 patent, the Pinchasik '067 reference, and the Israel '303 patent all demonstrate that the use of a curvy connector was widely known in the prior art. A person of ordinary skill in the art would have had the motivation to combine the non-offset design and the curvy connector in a single stent design prior to the time Jang filed his provisional application in April 1996 or the '021 patent application in April 1997. An example of such a motivation to combine can be found in the stent designs of Paul H. Burmeister, et al., described in U.S. Patent Application No. 08/246,320.

The stent described in Claim 35 of the '021 Patent is further obvious because this design was simultaneously invented by other companies during 1996 and 1997. In particular, the stent described in Claim 35 of the '021 Patent was simultaneously invented by Jomed when it developed the Jostent Coronary stent prior to the filing date of the '021 Patent, described it in the German patent application no. DE 297 08 689, and was demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam,

Netherlands. Additional evidence of simultaneous invention by other people and companies can be found in the Richter '404 patent and the developments, as described above, of the Isostent by the Fischells, the Bx Velocity stent by the Fischells and Cordis, the Paragon stent by Progressive Angioplasty System, the NIR stent by Medinol Ltd., the Iris stent by Uni-Cath, V-Flex and V-Flex Plus stents by Global Therapeutics, and the Pura-Vario stent by Devon Medical.

Claims 23 and 35 of the '021 Patent are also invalid pursuant to 35 U.S.C. § 112 because, as apparently construed by BSC in furtherance of its infringement theories, the specification does not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea of a stent with a non-offset design as of April 26, 1996 or April 25, 1997.

To the extent BSC claims priority to Jang's provisional application No. 60/017,484 dated April 26, 1996, the specification of this provisional application did not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea at the time the provisional application was filed of a stent that has an expansion strut pair consisting of first expansion strut that is adjacent but not parallel to a second expansion strut. In addition, the specification of provisional application No. 60/017,484 did not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea at the time the provisional application was filed of a connecting strut from the top corner of one expansion strut pair in the first expansion strut column to the bottom corner of a second expansion strut pair in a second expansion strut column as it is purportedly claimed in Claim 35 of the '021 Patent.

Finally, the asserted claim of the '021 Patent is invalid because the prior art references in Cordis' 35 U.S.C. § 282 statement anticipate the stent described in Claim 35 under 35 U.S.C. § 102 and/or render the claimed stent obvious under 35 U.S.C. § 103. In addition, Claim 35 of the '021 Patent is invalid for all the reasons stated in Cordis' opening and reply

briefs in support of its motions for judgment as a matter of law filed in the Cordis Corp. v. Boston Scientific Corp., et al., Civil Action No. 03-027-SLR (D. Del.) litigation.

Discovery is still ongoing and expert discovery has yet to begin.

#### SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 8:

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome, in particular, because BSC seeks Conor's contentions for "each of the claims of the '021 patent" even though BSC accuses Conor of infringing only Claim 35. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to a contention interrogatory before claim construction and the start of expert discovery. Conor also objects to this interrogatory as premature because it seeks expert opinion testimony prior to the disclosure dates set forth in the Federal Rule of Civil Procedure 26(a)(2)(C) and the Court's scheduling order for expert discovery. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

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- U.S. Patent No. 5,807,404 (Richter) 2.
- U.S. Patent No. 5,733,303 (Israel) 3.
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- 6. U.S. Patent No. 5,102,417 (Palmaz)
- 7. U.S. Patent No. 5,514,154 (Lau)
- 8. U.S. Patent No. 5,195,984 (Schatz)
- 9. U.S. Patent No. 6,348,065 (Brown)
- 10. U.S. Patent No. 5,827,321 (Roubin)
- 11. U.S. Patent No. 6,183,506 (Penn)
- 12. U.S. Patent Application No. 08/816348 (Hess)
- 13. EP 0709 067 A2 (Pinchasik)
- 14. WO 98/40035 (Hess)
- 15. WO 95/26695 (Lau)
- 16. WO 95/31945 (Burmeister)
- 17. WO 96/28116 (Fogarty)
- 18. WO 97/14375 (Wijay)
- 19. PCT/US98/05014 (Hess)
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- 21. EP 0421 729 (Wolff)
- 22. EP 0606 165 (Miksza-Ethicon)
- 23. The V-Flex and V-Flex Plus Coronary Stents as manufactured, sold, offered for sale, and/or used by Global Therapeutics in the United States prior to the filing date of the '021 Patent
- 24. The NIR stent as manufactured, sold, offered for sale, and/or used by Jakob Richter and Medinol Ltd. in the United States prior to the priority and/or application dates of the '021 Patent

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The stent described in Claim 35 of the '021 Patent also was invented by personnel at UniCath before the application date of the '021 Patent when it developed the stent design in the United States that was eventually disclosed to the public as the Iris stent. The design for the Iris stent was described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. and demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands. The stent described in Claim 35 of the '021 Patent also was invented

by personnel at Devon Medical before the application date of the '021 Patent when it developed the stent design in the United States that was eventually disclosed to the public as the Pura-Vario stent. The design for the Pura-Vario stent was described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. and demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands.

The stent described in Claim 35 of the '021 Patent is obvious to one of ordinary skill at the times Jang filed provisional application 60/017,484 and his '021 patent application in light of several factors. First, as apparently construed by BSC in furtherance of its infringement theories, Claim 35 purportedly covers the design where the expansion strut pairs in the first and second expansion strut columns are longitudinally aligned ("non-offset design"). This non-offset design was commonly known in the prior art and described in several references that predate the priority date of the '021 Patent, including the Israel '303 patent, the Pinchasik '067 reference, the '065 Brown patent, the '154 Lau patent, Burmeister WO 95/31945 patent, Fogarty WO 96/28116 and the '417 Palmaz patent. In addition, the use of a curvy connector to attach two expansion struts in two separate expansion strut columns was well-known and obvious to a person of ordinary skill in the art as of the priority or the application date of the '021 Patent. The stent architectures developed by the Fischells beginning in 1996 and described in the Fischell '312 patent, the Pinchasik '067 reference, the Wijay WO 97/14375 reference, and the Israel '303 patent all demonstrate that the use of a curvy connector was widely known in the prior art. A person of ordinary skill in the art would have had the motivation to combine the non-offset design and the curvy connector in a single stent design prior to the time Jang filed his provisional application in April 1996 or the '021 patent application in April 1997. Examples of such a motivation to combine can be found in the stent designs of Paul H. Burmeister, et al., described in U.S. Patent Application No. 08/246,320, the WO 95/31945 patent, the stent designs described in the Wijay WO 97/14375 reference, the Fischell '971 reference, the Pinchasik '373 reference, the Burmeister WO 95/31945 reference, the Israel '303 reference, and the Richter '404 reference.

The stent described in Claim 35 of the '021 Patent is further obvious because this design was simultaneously invented by other companies during 1996 and 1997. In particular, the stent described in Claim 35 of the '021 Patent was simultaneously invented by Jomed when it developed the Jostent Coronary stent prior to the filing date of the '021 Patent, described it in the German patent application no. DE 297 08 689, and was demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands. Additional evidence of simultaneous invention by other people and companies can be found in the Richter '404 patent and the developments, as described above, of the Isostent by the Fischells, the Bx Velocity stent by the Fischells and Cordis, the Paragon stent by Progressive Angioplasty System, the NIR stent by Medinol Ltd., the Iris stent by Uni-Cath, V-Flex and V-Flex Plus stents by Global Therapeutics, and the Pura-Vario stent by Devon Medical.

Claims 23 and 35 of the '021 Patent are also invalid pursuant to 35 U.S.C. § 112 because, as apparently construed by BSC in furtherance of its infringement theories, the specification does not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea of a stent with a non-offset design as of April 26, 1996 or April 25, 1997.

To the extent BSC claims priority to Jang's provisional application No. 60/017,484 dated April 26, 1996, the specification of this provisional application did not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea at the time the provisional application was filed of a stent that has an expansion strut pair consisting of first expansion strut that is adjacent but not parallel to a second expansion strut. In addition, the specification of provisional application No. 60/017,484 did not establish to one of ordinary skill

in the art that Dr. Jang had possession of the idea at the time the provisional application was filed of a connecting strut from the top corner of one expansion strut pair in the first expansion strut column to the bottom corner of a second expansion strut pair in a second expansion strut column as it is purportedly claimed in Claim 35 of the '021 Patent.

Finally, the asserted claim of the '021 Patent is invalid because the prior art references in Cordis' 35 U.S.C. § 282 statement anticipate the stent described in Claim 35 under 35 U.S.C. § 102 and/or render the claimed stent obvious under 35 U.S.C. § 103. In addition, Claim 35 of the '021 Patent is invalid for all the reasons stated in Cordis' opening and reply briefs in support of its motions for judgment as a matter of law filed in the *Cordis Corp. v. Boston Scientific Corp., et al.*, Civil Action No. 03-027-SLR (D. Del.) litigation.

Discovery is still ongoing and expert discovery has yet to begin.

#### SECOND SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 8:

The asserted claim of the '021 Patent also is invalid pursuant to 35 U.S.C. § 102(g) because the subject matter claimed in the '021 Patent was invented in the United States by other inventors who have not abandoned, suppressed, or concealed their work in developing stents. Beginning in 1996, Robert, Tim, and David Fischell individually, and as a group, developed stent architectures that predate the priority date of the '021 Patent as described and depicted in the declaration of Robert Fischell dated June 12, 2000 filed in the *Scimed Life Systems, Inc., et al. v. Johnson & Johnson, et al.* litigation (D. Del.) (Civil Action No. 00-404-SLR), the Jan. 1996 Robert Fischell stent drawings, the Jan. 1996 Todd Turnlund CAD drawings, U.S. Patent No. 5,643,312, U.S. Patent No. 5,697,971, and U.S. Patent No. 6,190,403, and was eventually demonstrated to the public as the Isostent, including at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands, and Cordis' Bx Velocity stent. The stent described in Claim 35 of the '021 Patent also was invented

by personnel at Progressive Angioplasty System ("PAS") before the priority date of the '021 Patent when it developed the stent design in the United States that eventually was disclosed to the public as the Paragon stent. The design for the Paragon stent was described in Bruce Modesitt's lab notebooks, 1996-97 CAD drawings from PAS, the 1997 Handbook of Coronary Stents, and at the December 1997 Cardiovascular Summit and 4th Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands.

#### THIRD SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 8:

Claims 23 and 35 of the '021 Patent are also invalid pursuant to 35 U.S.C. § 112, paragraph 2, because it is apparent to one of ordinary skill in the art that, under BSC's interpretation of the claims, the claims assertedly cover stents that Dr. Jang did not regard as his invention.

The asserted claim of the '021 Patent also is invalid pursuant to 35 U.S.C. § 102(g) because the subject matter claimed in the '021 Patent was invented in the United States by other inventors who have not abandoned, suppressed, or concealed their work in developing stents. The stent described in Claim 35 of the '021 Patent was invented by personnel at Medinol, Ltd. before the application date of the '021 Patent. That stent design was disclosed to the public as the NIR stent. The design for the NIR stent was presented in December 1995 during the Rotterdam medical conference. It was also described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. (CM 180801-872) and in the 1998 *Handbook of Coronary Stents* (CM 189256-263).

The stent described in Claim 35 of the '021 Patent is further obvious because this design was simultaneously invented by other companies during 1996 and 1997. In particular, the stent described in Claim 35 of the '021 Patent was simultaneously invented by Jomed when it developed the Jostent Coronary stent prior to the filing date of the '021 Patent, described it in the

German patent application no. DE 297 08 689, and was demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands. Additional evidence of simultaneous invention by other people and companies can be found in the Richter '404 patent and the developments, as described above, of the Isostent by the Fischells, the Bx Velocity stent by the Fischells and Cordis, the Paragon stent by Progressive Angioplasty System, the NIR stent by Medinol Ltd., the Iris stent by Uni-Cath, V-Flex and V-Flex Plus stents by Global Therapeutics, and the Pura-Vario stent by Devon Medical. In particular, the stent described in Claim 35 of the '021 Patent was simultaneously invented by Medinol, Ltd. when it developed the NIR stent prior to the filing date of the '021 Patent, as presented in December 1995 at the Rotterdam medical conference and as described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. (CM 180801-872) and in the 1998 *Handbook of Coronary Stents* (CM 189256-263).

#### FOURTH SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 8:

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome, in particular, because BSC seeks Conor's contentions for "each of the claims of the '021 patent" even though BSC accuses Conor of infringing only Claim 35. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to a contention interrogatory before claim construction and the start of expert discovery. Conor also objects to this interrogatory as premature because it seeks expert opinion testimony prior to the disclosure dates set forth in the Federal Rule of Civil Procedure 26(a)(2)(C) and the Court's scheduling order for expert discovery. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General and Specific Objections, Conor responds as follows: As properly construed and/or as apparently construed by BSC in furtherance of its apparent infringement theories, Claim 35 of the '021 Patent is anticipated pursuant to 35 U.S.C. § 102 and/or rendered obvious, including by simultaneous invention, pursuant to 35 U.S.C. § 103 by the following prior art references, separately and in combination:

- 1. U.S. Patent No. 5,545,210 (Hess)
- 2. U.S. Patent No. 5,807,404 (Richter)
- 3. U.S. Patent No. 5,733,303 (Israel)
- 4. U.S. Patent No. 4,739,762 (Palmaz)
- 5. U.S. Patent No. 4,733,665 (Palmaz)
- 6. U.S. Patent No. 5,102,417 (Palmaz)
- 7. U.S. Patent No. 5,514,154 (Lau)
- 8. U.S. Patent No. 5,195,984 (Schatz)
- 9. U.S. Patent No. 6,348,065 (Brown)
- 10. U.S. Patent No. 5,827,321 (Roubin)
- 11. U.S. Patent No. 6,183,506 (Penn)
- 12. U.S. Patent Application No. 08/816348 (Hess)
- 13. EP 0709 067 A2 (Pinchasik)
- 14. WO 98/40035 (Hess)
- 15. WO 95/26695 (Lau)
- 16. WO 95/31945 (Burmeister)
- 17. WO 96/28116 (Fogarty)
- 18. WO 97/14375 (Wijay)
- 19. PCT/US98/05014 (Hess)

- 20. DE 297 08 689 (Hoefer)
- 21. EP 0421 729 (Wolff)

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- 22. EP 0606 165 (Miksza-Ethicon)
- 23. The V-Flex and V-Flex Plus Coronary Stents as manufactured, sold, offered for sale, and/or used by Global Therapeutics in the United States prior to the filing date of the '021 Patent
- 24. The NIR stent as manufactured, sold, offered for sale, and/or used by Jakob Richter and Medinol Ltd. in the United States prior to the priority and/or application dates of the '021 Patent

The asserted claim of the '021 Patent also is invalid pursuant to 35 U.S.C. § 102(g) because the subject matter claimed in the '021 Patent was invented in the United States by other inventors who have not abandoned, suppressed, or concealed their work in developing stents. Beginning in 1996, Robert, Tim, and David Fischell individually, and as a group, developed stent architectures that predate the priority date of the '021 Patent as described and depicted in the declaration of Robert Fischell dated June 12, 2000 filed in the Scimed Life Systems, Inc., et al. v. Johnson & Johnson, et al. litigation (D. Del.) (Civil Action No. 00-404-SLR), the Jan. 1996 Robert Fischell stent drawings, U.S. Patent No. 5,643,312, U.S. Patent No. 5,697,971, and U.S. Patent No. 6,190,403 and was eventually demonstrated to the public as the Isostent, including at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands, and Cordis' Bx Velocity stent. The stent described in Claim 35 of the '021 Patent also was invented by personnel at Progressive Angioplasty System ("PAS") before the priority date of the '021 Patent when it developed the stent design in the United States that eventually was disclosed to the public as the Paragon stent. The design for the Paragon stent was described in Bruce Modesitt's lab notebooks, 1996-97 CAD drawings from PAS, the 1997

Handbook of Coronary Stents, and at the December 1997 Cardiovascular Summit and 4th Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands.

The stent described in Claim 35 of the '021 Patent also was invented by personnel at UniCath before the application date of the '021 Patent when it developed the stent design in the United States that was eventually disclosed to the public as the Iris stent. The design for the Iris stent was described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. and demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands. The stent described in Claim 35 of the '021 Patent also was invented by personnel at Devon Medical before the application date of the '021 Patent when it developed the stent design in the United States that was eventually disclosed to the public as the Pura-Vario stent. The design for the Pura-Vario stent was described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. and demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands.

The stent described in Claim 35 of the '021 Patent is obvious to one of ordinary skill at the times Jang filed provisional application 60/017,484 and his '021 patent application in light of several factors. First, as apparently construed by BSC in furtherance of its infringement theories, Claim 35 purportedly covers the design where the expansion strut pairs in the first and second expansion strut columns are longitudinally aligned ("non-offset design"). This non-offset design was commonly known in the prior art and described in several references that predate the priority date of the '021 Patent, including the Israel '303 patent, the Pinchasik '067 reference, the '065 Brown patent, the '154 Lau patent, Burmeister WO 95/31945 patent, Fogarty WO 96/28116 and the '417 Palmaz patent. In addition, the use of a curvy connector to attach two expansion

struts in two separate expansion strut columns was well-known and obvious to a person of ordinary skill in the art as of the priority or the application date of the '021 Patent. The stent architectures developed by the Fischells beginning in 1996 and described in the Fischell '312 patent, the Pinchasik '067 reference, the Wijay WO 97/14375 reference, and the Israel '303 patent all demonstrate that the use of a curvy connector was widely known in the prior art. A person of ordinary skill in the art would have had the motivation to combine the non-offset design and the curvy connector in a single stent design prior to the time Jang filed his provisional application in April 1996 or the '021 patent application in April 1997. Examples of such a motivation to combine can be found in the stent designs of Paul H. Burmeister, et al., described in U.S. Patent Application No. 08/246,320, the WO 95/31945 patent, the stent designs described in the Wijay WO 97/14375 reference, the Fischell '971 reference, the Pinchasik '373 reference, the Burmeister WO 95/31945 reference, the Israel '303 reference, and the Richter '404 reference.

The stent described in Claim 35 of the '021 Patent is further obvious because this design was simultaneously invented by other companies during 1996 and 1997. In particular, the stent described in Claim 35 of the '021 Patent was simultaneously invented by Jomed when it developed the Jostent Coronary stent prior to the filing date of the '021 Patent, described it in the German patent application no. DE 297 08 689, and was demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands. Additional evidence of simultaneous invention by other people and companies can be found in the Richter '404 patent and the developments, as described above, of the Isostent by the Fischells, the Bx Velocity stent by the Fischells and Cordis, the Paragon stent by Progressive Angioplasty System, the NIR stent by Medinol Ltd., the Iris stent by Uni-Cath, V-Flex and V-Flex Plus stents by Global Therapeutics, and the Pura-Vario stent by Devon Medical.

Claims 23 and 35 of the '021 Patent are also invalid pursuant to 35 U.S.C. § 112 because, as apparently construed by BSC in furtherance of its infringement theories, the specification does not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea of a stent with a non-offset design as of April 26, 1996 or April 25, 1997.

To the extent BSC claims priority to Jang's provisional application No. 60/017,484 dated April 26, 1996, the specification of this provisional application did not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea at the time the provisional application was filed of a stent that has an expansion strut pair consisting of first expansion strut that is adjacent but not parallel to a second expansion strut. In addition, the specification of provisional application No. 60/017,484 did not establish to one of ordinary skill in the art that Dr. Jang had possession of the idea at the time the provisional application was filed of a connecting strut from the top corner of one expansion strut pair in the first expansion strut column to the bottom corner of a second expansion strut pair in a second expansion strut column as it is purportedly claimed in Claim 35 of the '021 Patent.

Finally, the asserted claim of the '021 Patent is invalid because the prior art references in Cordis' 35 U.S.C. § 282 statement anticipate the stent described in Claim 35 under 35 U.S.C. § 102 and/or render the claimed stent obvious under 35 U.S.C. § 103. In addition, Claim 35 of the '021 Patent is invalid for all the reasons stated in Cordis' opening and reply briefs in support of its motions for judgment as a matter of law filed in the *Cordis Corp. v. Boston Scientific Corp., et al.*, Civil Action No. 03-027-SLR (D. Del.) litigation.

The asserted claim of the '021 Patent also is invalid pursuant to 35 U.S.C. § 102(g) because the subject matter claimed in the '021 Patent was invented in the United States by other inventors who have not abandoned, suppressed, or concealed their work in developing stents. Beginning in 1996, Robert, Tim, and David Fischell individually, and as a group,

developed stent architectures that predate the priority date of the '021 Patent as described and depicted in the declaration of Robert Fischell dated June 12, 2000 filed in the *Scimed Life Systems, Inc., et al. v. Johnson & Johnson, et al.* litigation (D. Del.) (Civil Action No. 00-404-SLR), the Jan. 1996 Robert Fischell stent drawings, the Jan. 1996 Todd Turnlund CAD drawings, U.S. Patent No. 5,643,312, U.S. Patent No. 5,697,971, and U.S. Patent No. 6,190,403, and was eventually demonstrated to the public as the Isostent, including at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands, and Cordis' Bx Velocity stent. The stent described in Claim 35 of the '021 Patent also was invented by personnel at Progressive Angioplasty System ("PAS") before the priority date of the '021 Patent when it developed the stent design in the United States that eventually was disclosed to the public as the Paragon stent. The design for the Paragon stent was described in Bruce Modesitt's lab notebooks, 1996-97 CAD drawings from PAS, the 1997 *Handbook of Coronary Stents*, and at the December 1997 Cardiovascular Summit and 4th Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands.

Claims 23 and 35 of the '021 Patent are also invalid pursuant to 35 U.S.C. § 112, paragraph 2, because it is apparent to one of ordinary skill in the art that, under BSC's interpretation of the claims, the claims assertedly cover stents that Dr. Jang did not regard as his invention.

The asserted claim of the '021 Patent also is invalid pursuant to 35 U.S.C. § 102(g) because the subject matter claimed in the '021 Patent was invented in the United States by other inventors who have not abandoned, suppressed, or concealed their work in developing stents. The stent described in Claim 35 of the '021 Patent was invented by personnel at Medinol, Ltd. before the application date of the '021 Patent. That stent design was disclosed to the public as the NIR stent. The design for the NIR stent was presented in December 1995 during the

Rotterdam medical conference. It was also described in December 1996 in a printed publication known as *Emerging Opportunity for Coronary Stent* by David Firn, PBJ Publications, Ltd. (CM 180801-872) and in the 1998 *Handbook of Coronary Stents* (CM 189256-263).

The stent described in Claim 35 of the '021 Patent is further obvious because this design was simultaneously invented by other companies during 1996 and 1997. In particular, the stent described in Claim 35 of the '021 Patent was simultaneously invented by Jomed when it developed the Jostent Coronary stent prior to the filing date of the '021 Patent, described it in the German patent application no. DE 297 08 689, and was demonstrated to the public at the December 1996 3rd Thoraxcenter Course on Cardiovascular Stenting held in Rotterdam, Netherlands. Additional evidence of simultaneous invention by other people and companies can be found in the Richter '404 patent and the developments, as described above, of the Isostent by the Fischells, the Bx Velocity stent by the Fischells and Cordis, the Paragon stent by Progressive Angioplasty System, the NIR stent by Medinol Ltd., the Iris stent by Uni-Cath, V-Flex and V-Flex Plus stents by Global Therapeutics, and the Pura-Vario stent by Devon Medical. In particular, the stent described in Claim 35 of the '021 Patent was simultaneously invented by Medinol, Ltd. when it developed the NIR stent prior to the filing date of the '021 Patent, as presented in December 1995 at the Rotterdam medical conference and as described in December 1996 in a printed publication known as Emerging Opportunity for Coronary Stent by David Firn, PBJ Publications, Ltd. (CM 180801-872) and in the 1998 Handbook of Coronary Stents (CM 189256-263).

Conor incorporates by reference the expert reports of Nigel Buller, Ron Solar, and Kobi Richter submitted on March 19, 2007, and all of the materials cited therein.

# **INTERROGATORY NO. 13:**

Describe in detail each and every factual and legal basis for Conor's contention that BSC's claim for infringement of the '021 patent "is barred under the doctrine of unclean hands."

### **RESPONSE TO INTERROGATORY NO. 13:**

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to an interrogatory when discovery is ongoing, and BSC has yet to produce documents concerning the negotiations for and the assignment of the '021 Patent, and Dr. Jang's attempts to rescind or set aside the assignment. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General or Specific Objections, Conor responds that BSC has asserted the '021 Patent with unclean hands in at least 2 ways: (1) obtaining the '021 Patent by fraudulently inducing Dr. Jang into assigning the '021 Patent to BSSI, (2) asserting the '021 Patent when it has been obtained through fraud upon the Patent Office.

BSC obtained the '021 Patent by fraudulently inducing Dr. Jang into assigning a number of patents, including the '021 Patent, in 2002. On or about June 3, 2002, Jang entered into an assignment agreement with plaintiff BSSI and a part-time employment agreement with BSC. At the same time, Jang signed a Patent Assignment, a Bill of Sale and Assignment, and a Non-Qualified Stock Option Agreement that led to the assignment of the '021 Patent to BSC. Nearly three years later, on May 19, 2005, Jang filed suit in the Central District of California against BSC (Case No. 05-cv-00426-VAP-CT) ("Jang ownership dispute"), alleging that BSC had defrauded Jang into assigning his rights to the '021 Patent to BSC and seeking a rescission or reformation of the assignment. In Jang's complaint, he alleges that BSC "acted reprehensibly

and in bad faith, with oppression, fraud and/or malice" in inducing Dr. Jang to assign his patents to BSSI back in 2002. Even though BSC fraudulently obtained the '021 Patent, BSC now seeks to assert this patent against Conor with unclean hands.

In addition, during the prosecution of the '021 Patent application, named inventor G. David Jang and his patent prosecutor, Paul Davis (collectively "Jang"), committed inequitable conduct in at least three ways: (1) knowingly withholding the material reference U.S. Patent 5,545,210 from the U.S. Patent Office during the '021 Patent prosecution, (2) knowingly withholding the material reference U.S. Patent 5,807,404 from the U.S. Patent Office during the '021 Patent prosecution, and (3) misrepresenting the scope of his claim amendments to the Patent Office in distinguishing the '021 Patent from European Patent 0709 067 A2 and misleading the Patent Office into issuing the '021 Patent. In all three instances, on information and belief, Jang acted with intent to deceive the Patent Office in order to fraudulently obtain the '021 Patent.

On March 25, 1997, Jang filed a patent application that later issued as U.S. Patent No. 6,241,760, entitled "Intravascular Stent." On March 26, 1997, Jang filed another patent application on intravascular stents that later issued as U.S. Patent No. 5,954,743. One month later, on April 25, 1997, Jang filed the '021 Patent application. The '760, '743, and '021 Patent applications all claimed priority to the same provisional application, specifically, application no. 60/017,484, dated April 26, 1996.

During the prosecution of the '743 patent application, Jang received an office action from the Patent Office dated January 5, 1998, that cited U.S. Patent No. 5,545,210 to Robert Hess as pertinent prior art. The Hess '210 patent was subsequently listed on the face of the Jang '743 patent as a cited reference. In September 1998, Jang submitted the Hess '210 patent to the Patent Office during the examination of the '760 patent application. But Jang did not submit the Hess

'210 patent during the examination of the related, pending '021 Patent application. In addition, during the pendency of the '760 patent application, Jang also learned of U.S. Patent No. 5,807,404 to Jacob Richter when the Patent Office cited the Richter '404 patent as pertinent prior art in two office actions dated December 29, 1998, and June 3, 1999. The Richter '404 patent was subsequently listed on the face of the '760 patent as a cited reference. But Jang did not reveal the Richter '404 patent to the Patent Office during the examination of the related '021 Patent application, which did not issue until July 13, 1999.

The Hess '210 patent describes a stent "comprising first and second sections 1a, 1b joined by bridging member 7. The bridging member can have any suitable configuration such as a straight, helical (shown in phantom in FIG. 3) curved or wavy strip." '210 Patent at 4:59-64 (emphasis provided); see also id. at Fig. 3. The Richter '404 patent describes a stent with a "flexible connector" "disposed between the first member 4 and the second member 5" wherein the flexible connectors "may be made in a variety of shapes, e.g., an 'S' or a 'Z' shape as shown in FIG. 11." '404 Patent at 6:2-7; see also id. at Fig. 11. Thus, Jang was aware of the Hess '210 and Richter '404 patents as material references that anticipate and/or render obvious one or more claims of the '021 patent but withheld these references from the Patent Office during the '021 Patent application with intent to deceive the Patent Office.

Additionally, during the prosecution of the '021 Patent application, Jang amended application claims 1 and 24 (which later issued as independent claims 1 and 23 of the '021 Patent) on June 17, 1998, to overcome the Patent Office's rejection of the claims in view of European Patent 0709 067 A2, issued to Gregory Pinchasik. After amending the claims of the '021 Patent application, Jang represented to the Patent Office that his "invention is a strut with a first connecting strut with proximal, distal and intermediate sections. The intermediate section is non-parallel to the proximal and distal ends. Additionally, the stent has a first expansion strut of

a first expansion strut pair in a first expansion column that has a longitudinal axis which is offset from a longitudinal axis of a first expansion strut of the second expansion strut pair in a second expansion column." Jang proffered this explanation to lead the Patent Office into believing that application claim 24 (independent claim 23 of the '021 Patent) was distinguishable from the Pinchasik '067 patent. In fact, BSC's current interpretation of the claim reveals that claim 23 cannot be distinguished from the Pinchasik '067 patent, and that Jang's representations to the Patent Office were an attempt to mislead the Office for the purpose of obtaining the '021 patent.

The September 15, 1998 letter of the Patent Examiner demonstrates that the Patent Office was, in fact, misled by Jang's statements to believe that claim 24 (which issued as independent claim 23) was distinguishable from the Pinchasik '067 patent: "This application claims a first expansion column comprising a plurality of expansion strut pairs, a second expansion column comprising a plurality of second column expansion strut pairs, a first connecting strut column comprising struts which connect the first column expansion strut pairs to the second column expansion strut pairs, and a second connecting strut column comprising connecting struts which connect the second column expansion strut pairs to a third expansion column, wherein the expansion strut pairs of the first expansion column are longitudinally offset from the expansion strut pairs of the second expansion column." As described above, Jang's misleading statements convinced the Examiner to allow independent claim 23 when, under BSC's construction, the Pinchasik '067 patent anticipates or renders claim 23 obvious. On information and belief, Jang intentionally misled the Patent Office into believing that its amended claim was distinguishable from Pinchasik '067 patent to induce the Patent Office to issue the '021 Patent.

On information and belief, BSC was and is aware that Jang fraudulently obtained the '021 Patent by intentionally withholding the Richter '404 and Hess '210 patents from the Patent Office and intentionally misrepresenting the amendments made to overcome the Pinchasik '067

patent during the '021 patent prosecution. But for Jang's improper acts of concealment and misrepresentation, the '021 Patent would not have issued.

Discovery and investigation are ongoing.

# SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 13:

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to an interrogatory when discovery is ongoing, and BSC has yet to produce documents concerning the negotiations for and the assignment of the '021 Patent, and Dr. Jang's attempts to rescind or set aside the assignment. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General or Specific Objections, Conor responds that BSC has asserted the '021 Patent with unclean hands in at least 2 ways: (1) obtaining the '021 Patent by fraudulently inducing Dr. Jang into assigning the '021 Patent to BSSI, (2) asserting the '021 Patent when it has been obtained through fraud upon the Patent Office.

BSC obtained the '021 Patent by fraudulently inducing Dr. Jang into assigning a number of patents, including the '021 Patent, in 2002. On or about June 3, 2002, Jang entered into an assignment agreement with plaintiff BSSI and a part-time employment agreement with BSC. At the same time, Jang signed a Patent Assignment, a Bill of Sale and Assignment, and a Non-Qualified Stock Option Agreement that led to the assignment of the '021 Patent to BSC. Nearly three years later, on May 19, 2005, Jang filed suit in the Central District of California against BSC (Case No. 05-cv-00426-VAP-CT) ("Jang ownership dispute"), alleging that BSC had defrauded Jang into assigning his rights to the '021 Patent to BSC and seeking a rescission or reformation of the assignment. In Jang's complaint, he alleges that BSC "acted reprehensibly

and in bad faith, with oppression, fraud and/or malice" in inducing Dr. Jang to assign his patents to BSSI back in 2002. Even though BSC fraudulently obtained the '021 Patent, BSC now seeks to assert this patent against Conor with unclean hands.

In addition, during the prosecution of the '021 Patent application, named inventor G. David Jang and his patent prosecutor, Paul Davis (collectively "Jang"), committed inequitable conduct in at least three ways: (1) knowingly withholding the material reference U.S. Patent 5,545,210 from the U.S. Patent Office during the '021 Patent prosecution, (2) knowingly withholding the material reference U.S. Patent 5,807,404 from the U.S. Patent Office during the '021 Patent prosecution, and (3) misrepresenting the scope of his claim amendments to the Patent Office in distinguishing the '021 Patent from European Patent 0709 067 A2 and misleading the Patent Office into issuing the '021 Patent. In all three instances, on information and belief, Jang acted with intent to deceive the Patent Office in order to fraudulently obtain the '021 Patent.

On March 25, 1997, Jang filed a patent application that later issued as U.S. Patent No. 6,241,760, entitled "Intravascular Stent." On March 26, 1997, Jang filed another patent application on intravascular stents that later issued as U.S. Patent No. 5,954,743. One month later, on April 25, 1997, Jang filed the '021 Patent application. The '760, '743, and '021 Patent applications all claimed priority to the same provisional application, specifically, application no. 60/017,484, dated April 26, 1996.

During the prosecution of the '743 patent application, Jang received an office action from the Patent Office dated January 5, 1998, that cited U.S. Patent No. 5,545,210 to Robert Hess as pertinent prior art. The Hess '210 patent was subsequently listed on the face of the Jang '743 patent as a cited reference. In September 1998, Jang submitted the Hess '210 patent to the Patent Office during the examination of the '760 patent application. But Jang did not submit the Hess

'210 patent during the examination of the related, pending '021 Patent application. In addition, during the pendency of the '760 patent application, Jang also learned of U.S. Patent No. 5,807,404 to Jacob Richter when the Patent Office cited the Richter '404 patent as pertinent prior art in two office actions dated December 29, 1998, and June 3, 1999. The Richter '404 patent was subsequently listed on the face of the '760 patent as a cited reference. But Jang did not reveal the Richter '404 patent to the Patent Office during the examination of the related '021 Patent application, which did not issue until July 13, 1999.

The Hess '210 patent describes a stent "comprising first and second sections 1a, 1b joined by bridging member 7. The bridging member can have any suitable configuration such as a straight, helical (shown in phantom in FIG. 3) curved or wavy strip." '210 Patent at 4:59-64 (emphasis provided); see also id. at Fig. 3. The Richter '404 patent describes a stent with a "flexible connector" "disposed between the first member 4 and the second member 5" wherein the flexible connectors "may be made in a variety of shapes, e.g., an 'S' or a 'Z' shape as shown in FIG. 11." '404 Patent at 6:2-7; see also id. at Fig. 11. Thus, Jang was aware of the Hess '210 and Richter '404 patents as material references that anticipate and/or render obvious one or more claims of the '021 patent but withheld these references from the Patent Office during the '021 Patent application with intent to deceive the Patent Office.

Additionally, on or about May 8, 1998, Jang signed an Assignment and License Agreement with Schneider (Europe) GmbH on May 8, 1998 in which he assigned to Schneider certain rights to his PSJ-3 stent product and licensed to Schneider certain rights under U.S. Provisional Application No. 60/017,484, U.S. Application No. 08/824,142, U.S. Application No. 08/824,866, U.S. Application No. 08/824,865, and U.S. Application No. 08/845,657. *See* BSC-C 136001. The '021 Patent purports to claim priority to or through these applications. On June 15, 1998, BSC acquired Schneider, including the rights under the May 8 1998 Assignment

Agreement and License. See BSC-C69775-70628. In 1998, Schneider and BSC were already large business entities with well over 500 employees. Subsequently, on October 6, 1998, Jang, through his attorney Paul Davis, represented to the Patent Office in an officially filed response that the "[a]pplicant is a small entity" in violation of 37 C.F.R. § 1.9(d) despite the fact that Jang had licensed the '021 Patent application to BSC. Jang thus obtained the '021 Patent through deceptive means, thus rendering this patent unenforceable.

Furthermore, during the prosecution of the '021 Patent application, Jang amended application claims 1 and 24 (which later issued as independent claims 1 and 23 of the '021 Patent) on June 17, 1998, to overcome the Patent Office's rejection of the claims in view of European Patent 0709 067 A2, issued to Gregory Pinchasik. After amending the claims of the '021 Patent application, Jang represented to the Patent Office that his "invention is a strut with a first connecting strut with proximal, distal and intermediate sections. The intermediate section is non-parallel to the proximal and distal ends. Additionally, the stent has a first expansion strut of a first expansion strut pair in a first expansion column that has a longitudinal axis which is offset from a longitudinal axis of a first expansion strut of the second expansion strut pair in a second expansion column." Jang proffered this explanation to lead the Patent Office into believing that application claim 24 (independent claim 23 of the '021 Patent) was distinguishable from the Pinchasik '067 patent. In fact, BSC's current interpretation of the claim reveals that claim 23 cannot be distinguished from the Pinchasik '067 patent, and that Jang's representations to the Patent Office were an attempt to mislead the Office for the purpose of obtaining the '021 patent.

The September 15, 1998 letter of the Patent Examiner demonstrates that the Patent Office was, in fact, misled by Jang's statements to believe that claim 24 (which issued as independent claim 23) was distinguishable from the Pinchasik '067 patent: "This application claims a first expansion column comprising a plurality of expansion strut pairs, a second expansion column

comprising a plurality of second column expansion strut pairs, a first connecting strut column comprising struts which connect the first column expansion strut pairs to the second column expansion strut pairs, and a second connecting strut column comprising connecting struts which connect the second column expansion strut pairs to a third expansion column, wherein the expansion strut pairs of the first expansion column are longitudinally offset from the expansion strut pairs of the second expansion column." As described above, Jang's misleading statements convinced the Examiner to allow independent claim 23 when, under BSC's construction, the Pinchasik '067 patent anticipates or renders claim 23 obvious. On information and belief, Jang intentionally misled the Patent Office into believing that its amended claim was distinguishable from Pinchasik '067 patent to induce the Patent Office to issue the '021 Patent.

On information and belief, BSC was and is aware that Jang fraudulently obtained the '021 Patent by intentionally withholding the Richter '404 and Hess '210 patents from the Patent Office and intentionally misrepresenting the amendments made to overcome the Pinchasik '067 patent during the '021 patent prosecution. But for Jang's improper acts of concealment and misrepresentation, the '021 Patent would not have issued.

# SECOND SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 13:

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to an interrogatory when discovery is ongoing, and BSC has yet to produce documents concerning the negotiations for and the assignment of the '021 Patent, and Dr. Jang's attempts to rescind or set aside the assignment. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General or Specific Objections, Conor responds that BSC has asserted the '021 Patent with unclean hands in at least 2 ways: (1) obtaining the '021 Patent by fraudulently inducing Dr. Jang into assigning the '021 Patent to BSSI, and (2) asserting the '021 Patent when it has been obtained through fraud upon the Patent Office.

BSC obtained the '021 Patent by fraudulently inducing Dr. Jang into assigning a number of patents, including the '021 Patent, in 2002. On or about June 3, 2002, Jang entered into an assignment agreement with plaintiff BSSI and a part-time employment agreement with BSC. At the same time, Jang signed a Patent Assignment, a Bill of Sale and Assignment, and a Non-Qualified Stock Option Agreement that led to the assignment of the '021 Patent to BSC. Nearly three years later, on May 19, 2005, Jang filed suit in the Central District of California against BSC (Case No. 05-cv-00426-VAP-CT) ("Jang ownership dispute"), alleging that BSC had defrauded Jang into assigning his rights to the '021 Patent to BSC and seeking a rescission or reformation of the assignment. In Jang's complaint, he alleges that BSC "acted reprehensibly and in bad faith, with oppression, fraud and/or malice" in inducing Dr. Jang to assign his patents to BSSI back in 2002. Even though BSC fraudulently obtained the '021 Patent, BSC now seeks to assert this patent against Conor with unclean hands.

In addition, during the prosecution of the '021 Patent application, named inventor G. David Jang and his patent prosecutor, Paul Davis (collectively "Jang"), committed inequitable conduct in at least four ways: (1) knowingly withholding the material reference U.S. Patent 5,545,210 from the U.S. Patent Office during the '021 Patent prosecution; (2) knowingly withholding the material reference U.S. Patent 5,807,404 from the U.S. Patent Office during the '021 Patent prosecution; (3) knowingly claiming small entity status during the '021 Patent prosecution with the intent to deceive the patent office, and (4) misrepresenting the scope of his claim amendments to the Patent Office in distinguishing the '021 Patent from European Patent

0709 067 A2 and misleading the Patent Office into issuing the '021 Patent. In all four instances, on information and belief, Jang acted with intent to deceive the Patent Office in order to fraudulently obtain the '021 Patent.

On March 25, 1997, Jang filed a patent application that later issued as U.S. Patent No. 6,241,760, entitled "Intravascular Stent." On March 26, 1997, Jang filed another patent application on intravascular stents that later issued as U.S. Patent No. 5,954,743. One month later, on April 25, 1997, Jang filed the '021 Patent application. The '760, '743, and '021 Patent applications all claimed priority to the same provisional application, specifically, application no. 60/017,484, dated April 26, 1996.

During the prosecution of the '743 patent application, Jang received an office action from the Patent Office dated January 5, 1998, that cited U.S. Patent No. 5,545,210 to Robert Hess as pertinent prior art. The attorney who prosecuted the '743 patent application and the '021 patent application on Jang's behalf, Paul Davis, testified that it was his customary practice to review cited references to determine whether they were relevant to any of the applicant's related patent prosecutions. *See* deposition of Paul Davis at 103. He further testified that he had no reason to doubt that he would not have followed his normal practice after receiving the office action citing the Hess '210 patent and considered whether it was relevant to other applications. *Id.* at 104. The Hess '210 patent was subsequently listed on the face of the Jang '743 patent as a cited reference. On September 5, 1998, Jang submitted an Information Disclosure Statement with respect to the '760 patent application that cites the Hess '210 patent. That same day, Jang also submitted an Information Disclosure Statement with respect to the '021 patent application that does not cite the Hess '210 patent. Jang never submitted the Hess '210 patent during the examination of the '021 patent.

In addition, during the pendency of the '760 patent application, Jang also learned of U.S. Patent No. 5,807,404 to Jacob Richter when the Patent Office cited the Richter '404 patent as pertinent prior art in two office actions dated December 29, 1998, and June 3, 1999. The Richter '404 patent was subsequently listed on the face of the '760 patent as a cited reference. But Jang did not reveal the Richter '404 patent to the Patent Office during the examination of the related '021 patent application, which did not issue until July 13, 1999.

The Hess '210 patent describes a stent "comprising first and second sections 1a, 1b joined by bridging member 7. The bridging member can have any suitable configuration such as a straight, helical (shown in phantom in FIG. 3) curved or wavy strip." '210 Patent at 4:59-64 (emphasis provided); see also id. at Fig. 3. The Hess '210 patent anticipates and/or renders obvious clam 35 of the '021 patent. See expert report of Nigel Buller submitted on March 19, 2007 at 40-43. Therefore, Hess '210 is a material reference to the '021 patent.

The Richter '404 patent describes a stent with a "flexible connector" "disposed between the first member 4 and the second member 5" wherein the flexible connectors "may be made in a variety of shapes, e.g., an 'S' or a 'Z' shape as shown in FIG. 11." '404 Patent at 6:2-7; see also id. at Fig. 11. The Richter '404 patent anticipates and/or renders obvious the '021 patent. See expert report of Nigel C. Buller submitted on March 19, 2007 at 48-49. Therefore, Richter is a material reference to the '021 patent. Thus, Jang and/or Davis was aware of the Hess '210 and Richter '404 patents as material references that anticipate and/or render obvious one or more claims of the '021 patent but withheld these references from the Patent Office during the '021 patent application with intent to deceive the Patent Office.

Additionally, on or about May 8, 1998, Jang signed an Assignment and License Agreement with Schneider (Europe) in which he assigned to Schneider certain rights to his PSJ-3 stent product and licensed to Schneider certain rights under U.S. Provisional Application No.

60/017,484, U.S. Application No. 08/824,142, U.S. Application No. 08/824,866, U.S. Application No. 08/824,865, and U.S. Application No. 08/845,657. See BSC-C 136001. Davis knew of the Assignment and License Agreement between Jang and Schneider at least as of November 30, 1998, when he mailed a copy of the agreement to Eli J. McKhool. See BSC-C69775-70268; see also deposition of Paul Davis at 71-72. The patent application that became the '021 patent is specifically identified as a licensed patent in the Jang-Schneider Agreement. Jang signed and submitted a Verified Statement claiming small entity status in connection with the '657 application. This document advised Jang of his duty to notify the Patent Office of any change in status that would result in the loss of entitlement to small entity status prior to or at the time of payment of an issue or maintenance fee. Jang acknowledged this duty by signing the statement. See JFH000186. On June 15, 1998, BSC acquired Schneider, including the rights under the May 8 1998 Assignment Agreement and License. See BSC-C69775-70628. In 1998, Schneider and BSC were already large business entities with well over 500 employees. See patent application 08/845,734, Notification of Change in Small Entity Status (Davis Exhibit 2 at Tab 16).

Subsequently, on October 6, 1998, Jang, through his attorney Paul Davis, represented to the Patent Office in an officially filed response that the "[a]pplicant is a small entity" in violation of 37 C.F.R. § 1.9(d) despite the fact that Jang had licensed the '021 Patent application to BSC. Thereafter, in April 1999, Davis received a Notice of Allowance and Issue Fee Due from the Patent Office concerning the '657 patent application stating that the applicant was not entitled to small entity status. See JFH-000229. On the Issue Fee Transmittal that Davis subsequently signed and returned to the Patent Office in April 1999, the small entity status designation "NO" that had been printed by the Patent Office is crossed out and the word "YES" is hand-written above it. In addition, the printed notation "\$1210" under fee due is crossed out and "605.00" is

hand-written above it. *See* JFH000258. Davis testified that the edits to the document would have been made by someone else in his office before he signed the document. *See* Davis deposition at 63-65. Davis also testified that by signing the document, he indicated his approval of the hand-written changes made to it. *See* Davis deposition at 125. Jang was aware that Davis had claimed small entity status on the April 1999 Issue Fee Transmittal because Davis sent a copy of this document to Jang on April 15, 1999. *See* BSC-JS2626-36. When he sent the issue fee to the Patent Office, Davis either knew that Jang was not entitled to small entity status or had a duty to investigate this issue in light of his awareness of the license agreement between Jang and Schneider. Jang thus obtained the '021 Patent with the intent to deceive the patent office, thus rendering this patent unenforceable.

Furthermore, during the prosecution of the '021 Patent application, Jang amended application claims 1 and 24 (which later issued as independent claims 1 and 23 of the '021 Patent) on June 17, 1998, to overcome the Patent Office's rejection of the claims in view of European Patent 0709 067 A2, issued to Gregory Pinchasik. After amending the claims of the '021 Patent application, Jang represented to the Patent Office that his "invention is a strut with a first connecting strut with proximal, distal and intermediate sections. The intermediate section is non-parallel to the proximal and distal ends. Additionally, the stent has a first expansion strut of a first expansion strut pair in a first expansion column that has a longitudinal axis which is offset from a longitudinal axis of a first expansion strut of the second expansion strut pair in a second expansion column." Jang proffered this explanation to lead the Patent Office into believing that application claim 24 (independent claim 23 of the '021 Patent) was distinguishable from the Pinchasik '067 patent. In fact, BSC's current interpretation of the claim reveals that claim 23 cannot be distinguished from the Pinchasik '067 patent, and that Jang's representations to the Patent Office were an attempt to mislead the Office for the purpose of obtaining the '021 patent.

The September 15, 1998 letter of the Patent Examiner demonstrates that the Patent Office was, in fact, misled by Jang's statements to believe that claim 24 (which issued as independent claim 23) was distinguishable from the Pinchasik '067 patent: "This application claims a first expansion column comprising a plurality of expansion strut pairs, a second expansion column comprising a plurality of second column expansion strut pairs, a first connecting strut column comprising struts which connect the first column expansion strut pairs to the second column expansion strut pairs, and a second connecting strut column comprising connecting struts which connect the second column expansion strut pairs to a third expansion column, wherein the expansion strut pairs of the first expansion column are longitudinally offset from the expansion strut pairs of the second expansion column." As described above, Jang's misleading statements convinced the Examiner to allow independent claim 23 when, under BSC's construction, the Pinchasik '067 patent anticipates or renders claim 23 obvious. On information and belief, Jang intentionally misled the Patent Office into believing that its amended claim was distinguishable from the Pinchasik '067 patent to induce the Patent Office to issue the '021 Patent.

On information and belief, BSC was and is aware that Jang fraudulently obtained the '021 Patent by intentionally withholding the Richter '404 and Hess '210 patents from the Patent Office and intentionally misrepresenting the amendments made to overcome the Pinchasik '067 patent during the '021 patent prosecution. But for Jang's improper acts of concealment and misrepresentation, the '021 Patent would not have issued.

#### **INTERROGATORY NO. 14**:

Describe in detail each and every factual and legal basis for Conor's contention that BSC's claim for infringement of the '021 patent "is barred due to inequitable conduct ...."

# **RESPONSE TO INTERROGATORY NO. 14:**

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to a contention interrogatory before claim construction and deposition discovery. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General and Specific Objections, Conor incorporates its response to Interrogatory No. 12 regarding the fraud committed upon the Patent Office during the prosecution of the '021 Patent.

Discovery and investigation are ongoing.

# SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 14:

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to a contention interrogatory before claim construction and deposition discovery. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General and Specific Objections, Conor incorporates its supplemental response to Interrogatory No. 12 regarding the fraud committed upon the Patent Office during the prosecution of the '021 Patent.

# SECOND SUPPLEMENTAL RESPONSE TO INTERROGATORY NO. 14:

In addition to its General Objections, Conor objects that this interrogatory is overbroad and unduly burdensome. Conor further objects that this interrogatory, including the detail it seeks, is inappropriate and premature at this stage of discovery because BSC seeks a response to

a contention interrogatory before claim construction and deposition discovery. Conor finally objects to this interrogatory to the extent it seeks legal conclusions.

Subject to and without waiving its General and Specific Objections, Conor incorporates its second supplemental response to Interrogatory No. 12 regarding the fraud committed upon the Patent Office during the prosecution of the '021 Patent.

#### **ASHBY & GEDDES**

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Dated: April 9, 2007

179530.1

#### **CERTIFICATE OF SERVICE**

I hereby certify that on the 9<sup>th</sup> day of April, 2007, the attached CONOR

MEDSYSTEMS, INC.'S FOURTH SUPPLEMENTAL RESPONSE TO PLAINTIFFS'

INTERROGATORY NO. 8 AND SECOND SUPPLEMENTAL RESPONSE TO

PLAINTIFFS' INTERROGATORY NOS. 13-14 was served upon the below-named counsel

of record at the address and in the manner indicated:

Josy W. Ingersoll, Esquire The Brandywine Building Young, Conaway, Stargatt & Taylor, LLP 1000 West Street, 17<sup>th</sup> Floor Wilmington, DE 19801 HAND DELIVERY

Peter J. Armenio, Esquire Kirkland & Ellis Citigroup Center 153 East 53<sup>rd</sup> Street New York, NY 10022

VIA FEDERAL EXPRESS

# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

BOSTON SCIENTIFIC CORPORATION and	)	
BOSTON SCIENTIFIC SCIMED, INC.,	)	
	)	
Plaintiffs,	)	
	)	
v.	)	C.A. No. 05-768-SLR
	)	
CONOR MEDSYSTEMS, INC.,	)	
	)	
Defendant.	)	

# **NOTICE OF SERVICE**

The undersigned hereby certifies that on the 9<sup>th</sup> day of April, 2007, CONOR

MEDSYSTEMS, INC.'S FOURTH SUPPLEMENTAL RESPONSE TO PLAINTIFFS'

INTERROGATORY NO. 8 AND SECOND SUPPLEMENTAL RESPONSE TO

PLAINTIFFS' INTERROGATORY NOS. 13-14 was served upon the following counsel of record at the address and in the manner indicated:

Josy W. Ingersoll, Esquire Young, Conaway, Stargatt & Taylor, LLP 1000 West Street, 17<sup>th</sup> Floor Wilmington, DE 19801 HAND DELIVERY

Peter J. Armenio Kirkland & Ellis Citigroup Center 153 East 53<sup>rd</sup> Street New York, NY 10022 **VIA FEDERAL EXPRESS** 

Document 160-3

#### **ASHBY & GEDDES**

/s/ Lauren E. Maguire

Steven J. Balick (I.D. #2114) John G. Day (I.D. #2403) Lauren E. Maguire (I.D. #4261) 500 Delaware Avenue, 8<sup>th</sup> Floor P.O. Box 1150 Wilmington, DE 19899 Telephone: (302) 654-1888 sbalick@ashby-geddes.com jday@ashby-geddes.com Imaguire@ashby-geddes.com

Attorneys for Defendant

# Of Counsel:

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Courtland L. Reichman King & Spalding 1180 Peachtree Street, NE Atlanta, GA 30309 Telephone: (404) 572-4600

Dated: April 9, 2007

177969.1

# **CERTIFICATE OF SERVICE**

I hereby certify that on the 9<sup>th</sup> day of April, 2007, the attached **NOTICE OF SERVICE** was served upon the below-named counsel of record at the address and in the manner indicated:

Josy W. Ingersoll, Esquire Young, Conaway, Stargatt & Taylor, LLP 1000 West Street, 17<sup>th</sup> Floor Wilmington, DE 19801

HAND DELIVERY

Peter J. Armenio Kirkland & Ellis Citigroup Center 153 East 53<sup>rd</sup> Street New York, NY 10022 VIA FEDERAL EXPRESS

/s/ Lauren E. Maguire

Lauren E. Maguire

# **Discovery Documents**

1:05-cv-00768-SLR Boston Scientific Corporation et al v. Conor Medsystems Inc. MEDIATION, PATENT, PaperDocuments

#### **U.S. District Court**

#### District of Delaware

#### **Notice of Electronic Filing**

The following transaction was entered by Maguire. Lauren on 4/9/2007 at 10:38 AM EDT and filed on 4/9/2007

Case Name:

Boston Scientific Corporation et al v. Conor Medsystems Inc.

Case Number:

1:05-cv-768

Filer:

Conor Medsystems Inc.

**Document Number: 127** 

#### **Docket Text:**

NOTICE OF SERVICE of Fourth Supplemental Response to Plaintiffs' Interrogatory No. 8 and Second Supplemental Response to Plaintiffs' Interrogatory Nos. 13-14 by Conor Medsystems Inc. (Maguire, Lauren)

#### 1:05-cv-768 Notice has been electronically mailed to:

sbalick@ashby-geddes.com, dfioravanti@ashby-geddes.com, jday@ashby-Steven J. Balick geddes.com, lmaguire@ashby-geddes.com, mkipp@ashby-geddes.com, nlopez@ashby-geddes.com, rgamory@ashby-geddes.com, tlydon@ashby-geddes.com

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#### 1:05-cv-768 Notice has been delivered by other means to:

The following document(s) are associated with this transaction:

Document description: Main Document

Original filename:n/a

Electronic document Stamp:

[STAMP dcecfStamp ID=1079733196 [Date=4/9/2007] [FileNumber=369496-0] [6a87fb14f6df01866ef19205d35d7ac25c92fe0a01bd0545508497ebc55149c4492a5 0b24e9faa5ffce57b5b14542ec5acc2f354bc67f7e249f893c83ff4ad92]]

# **EXHIBITS 16 – 17**

# REDACTED IN **FULL**